

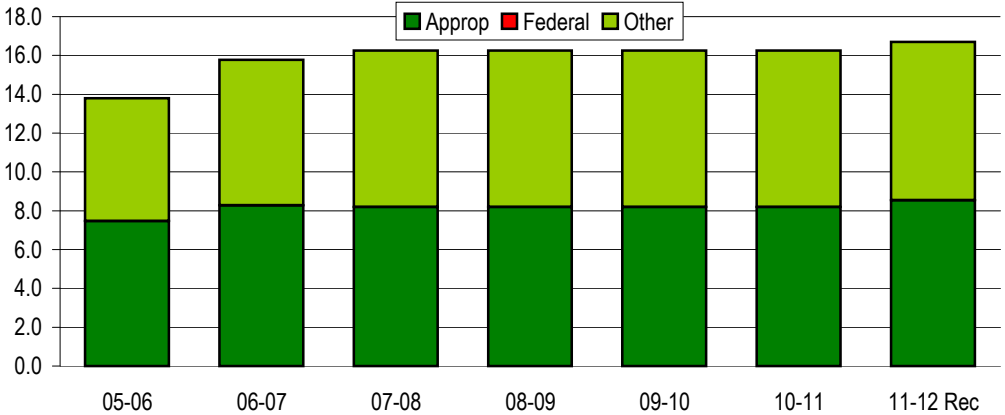
FINANCIAL INSTITUTIONS

Finance, Ways & Means Committee

FUNDING (Million)									
	05-06	06-07	07-08	08-09	09-10	10-11	11-12 Imp	11-12 Rec	6 Yr Change
Total	\$13.8M	\$15.8M	\$16.3M	\$16.3M	\$16.3M	\$16.3M	\$0.0M	\$16.7M	21%
Approp	7.5	8.3	8.2	8.2	8.2	8.2	0.0	8.5	14%
Federal	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0%
Other	6.3	7.5	8.1	8.1	8.1	8.1	0.0	8.2	29%

*The Department is funded entirely by funds derived from assessments on regulated institutions
The "appropriation" is from the Annual Banking fee assessed to state-chartered banks (45-11-118)*

POSITIONS									
Total	165	171	171	165	173	173	-	171	4%
FT	165	171	171	165	173	173	-	171	4%
PT	-	-	-	-	-	-	-	-	-



MAJOR PROGRAMS

Regulate and examine state-chartered and licensed financial institutions including:

- Commercial Banks
- Trust Companies
- Savings Institutions
- Mortgage Lenders, Brokers, Servicers and Originators
- Money Transmitters
- Industrial Loan Co.
- Industrial Dev. Co.
- Check Cashing Co.
- Insurance Premium Finance Co.
- Credit Unions

BASE REDUCTIONS (Recurring)		FY11-12				FY10-11				FY09-10				FY08-09	
		Approp Reduction	Pos	One-time Restoration	Pos	Approp Reduction	Pos	One-time Restoration	Pos	Approp Reduction	Pos	One-time Restoration	Pos	Approp Reduction	Pos
Program Area	Cumulative Total														
	(\$0.1K)	(\$0.1K)	(2)	(\$0.0K)	-	(\$0.0K)	-	(\$0.0K)	-	(\$0.0K)	-	(\$0.0K)	-	(\$0.0K)	(1)
Eliminate Performance-Based Budget Pos		-	-	-	-	-	-	-	-	-	-	-	-	-	(1)
Abolish two (2) positions		(\$0.1K)	(2)	-	-	-	-	-	-	-	-	-	-	-	-

IMPROVEMENT HISTORY

FY 2011-2012 None

FY2010-2011 None

FY2009-2010 \$239,400 4 FT positions - Mortgage Originator Licensing (Fee Funded) PC 499
\$298,000 3 FT positions -Bank Examiners (Fee Funded) Expansion 2009

FY2008-2009 \$78,600 1 FT Credit Union Examiner

FY2007-2008 \$62,800 Post-secondary and Adult Financial Literacy Programs

FY2006-2007 \$128,000 Regulatory Board System Upgrade
\$135,000 Three Safety Supervisors and four Bank Examiners

PERFORMANCE MEASURES	04-05 (Act)	05-06 (Act)	06-07 (Act)	07-08 (Act)	08-09 (Act)	09-10(Act)	14-15 Goal	
Percent of regulated institutions rated 3, 4, or 5	100%	100%	100%	100%	100%	100%	100%	✓
Percent of De Novo bank boards and boards rated 3, 4, or 5	56%	75%	100%	100%	100%	100%	100%	✓
Number of major consumer education initiatives conducted	3	4	4	20	5	5	5	✓
Percent of non-depository institutions examined annually	52%	59%	66%	70%	72%	94%	94%	✓
Percent of the number of boards rated 3,4, or 5 on the most recent examination by the department						100%	100%	✓

FUND BALANCES	06/30/06	06/30/07	06/30/08	06/30/09	06/30/10
Bank Fees	\$ -	\$ -	\$ -	\$1.7M	Unknown